



Optimizing HVAC Energy Consumption through Occupancy Detection with Machine Learning based Classifiers

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ABSTRACT

Heating, Ventilating, and Air Conditioning (HVAC) systems are pivotal for maintaining indoor comfort and air quality in buildings. However, inefficient HVAC operation can lead to unnecessary energy consumption and increased costs. This research explores the application of machine learning (ML) techniques for occupancy detection using environmental factors to optimize HVAC energy consumption. Analyzing a dataset comprising environmental observations like temperature, humidity, light, and CO₂ levels alongside occupancy status, we evaluate various ML algorithms, including K-Nearest Neighbors (KNN), Support Vector Machines (SVM), and others, for accurately predicting room occupancy. Additionally, we conduct exploratory data analysis (EDA) using box plots to visualize feature distributions and their correlation with occupancy. Our findings highlight the potential of ML-based occupancy detection to enhance HVAC system efficiency by dynamically adjusting heating, cooling, and ventilation settings based on real-time occupancy information. Through experimental evaluation on training, validation, and test datasets, we provide insights into the performance and scalability of different ML models for occupancy prediction. Finally, we discuss practical implications and future research directions for integrating ML-based occupancy detection into HVAC control systems to achieve energy savings and environmental sustainability.

Key words: HVAC energy consumption, Occupancy detection, Machine learning classifiers, Environmental factors, Building automation, Energy management, Exploratory data analysis, Feature distributions, Model performance evaluation, Support Vector Machine (SVM), Logistic Regression, K-Nearest Neighbors (KNN), Naive Bayes, Decision Tree, Gradient Boosting, Sustainability, Energy savings, Environmental impact, Deep learning, Building operations.

INTRODUCTION

Efficient operation of Heating, Ventilating, and Air Conditioning (HVAC) systems is paramount for ensuring occupant comfort and energy conservation in buildings. With the rising demand for energy-efficient solutions, traditional HVAC control strategies have come under scrutiny for their lack of adaptability to changing occupancy patterns, often resulting in unnecessary energy consumption. However, recent advancements in sensor technology and machine learning present promising opportunities to address this challenge. By harnessing the power of real-time occupancy detection based on environmental observations, we can revolutionize the way HVAC systems operate.[1][2]

In this study, we embark on a journey to explore the potential of machine learning (ML) techniques in optimizing HVAC energy consumption through intelligent occupancy detection. Our approach involves leveraging data collected from environmental sensors, including temperature, humidity, light, and CO₂ levels, to predict room occupancy accurately. Through meticulous analysis and modeling, we aim to develop sophisticated occupancy detection models that not only inform HVAC control decisions but also contribute to the broader

goals of building automation and energy management. By harnessing the insights gleaned from ML-based solutions, we seek to strike a delicate balance between optimizing energy usage and maintaining optimal indoor comfort and air quality standards, thus paving the way for a more sustainable and efficient built environment.

In addition to addressing the immediate challenges of energy consumption and occupant comfort, our research also aims to lay the groundwork for future advancements in building automation and sustainability. By integrating machine learning techniques into HVAC control systems, we not only optimize energy consumption but also lay the foundation for smarter, more adaptive buildings. The insights gained from our study can inform the development of intelligent building management systems capable of dynamically adjusting HVAC settings based on real-time occupancy data. Furthermore, by reducing energy waste and enhancing operational efficiency, our approach aligns with broader sustainability initiatives aimed at mitigating the environmental impact of buildings. Ultimately, our research endeavors to propel the evolution of building infrastructure towards a more interconnected, data-driven future, where technology plays a pivotal role in creating healthier, more energy-efficient living and working environments for all.

LITERATURE REVIEW

The literature on HVAC energy optimization and occupancy detection has witnessed significant growth in recent years, reflecting the increasing recognition of the importance of energy efficiency in building operations. Numerous studies have investigated various approaches to improving HVAC system performance, with a particular focus on leveraging advanced sensing technologies and machine learning algorithms. For instance, research has demonstrated the efficacy of using machine learning models to predict HVAC energy consumption based on occupancy data, highlighting the potential for data-driven approaches to optimize building energy usage.[3]

Moreover, the adoption of occupancy detection systems in building automation has gained traction as a means to enhance energy efficiency while maintaining occupant comfort. Studies have explored the integration of occupancy-based HVAC control strategies, demonstrating significant energy savings compared to conventional scheduling methods. These findings underscore the importance of accurate occupancy detection in enabling adaptive HVAC systems capable of responding to real-time occupancy patterns.[4]

In addition to traditional machine learning techniques, recent research has also investigated the application of deep learning algorithms for occupancy prediction and HVAC optimization. Deep learning models, with their ability to extract complex patterns from large datasets, offer promising avenues for improving the accuracy and robustness of occupancy detection systems. For instance, work has demonstrated the effectiveness of deep learning-based occupancy detection in reducing HVAC energy consumption, showcasing the potential for neural network architectures to outperform conventional machine learning methods in complex real-world environments.[5][6]

Furthermore, advancements in sensor technology have facilitated the development of more sophisticated occupancy detection systems, enabling fine-grained monitoring of building spaces. These sensors, ranging from passive infrared (PIR) sensors to ultrasonic and microwave sensors, provide comprehensive coverage and enable more accurate detection of occupant presence and movement. Coupled with machine learning algorithms, these sensors contribute to the creation of robust occupancy prediction models, enhancing the efficiency of HVAC systems by enabling precise control based on real-time occupancy information. This integration of advanced sensor technologies with machine learning techniques represents a promising direction for optimizing HVAC energy consumption and improving overall building performance.[7][8]

DATA DESCRIPTION AND PREPROCESSING

The dataset utilized in this study, sourced from the Occupancy Detection Data Set from the UC Irvine Machine Learning Repository, contains environmental observations recorded at regular intervals alongside corresponding occupancy status (occupied or not occupied). The features include temperature, humidity, light intensity, CO₂ concentration, and humidity ratio. We preprocess the data by splitting it into training, validation, and test sets.[9] To prepare the data for analysis, we perform exploratory data analysis (EDA) to gain insights into feature distributions and identify potential correlations with occupancy. Box plots are employed to visualize the spread of each feature across different occupancy states, facilitating the identification of patterns and outliers.

The box plot provides valuable insights into the central tendency, spread, and outliers present within each feature. Additionally, we calculate descriptive statistics for the numerical attributes, which are summarized below:

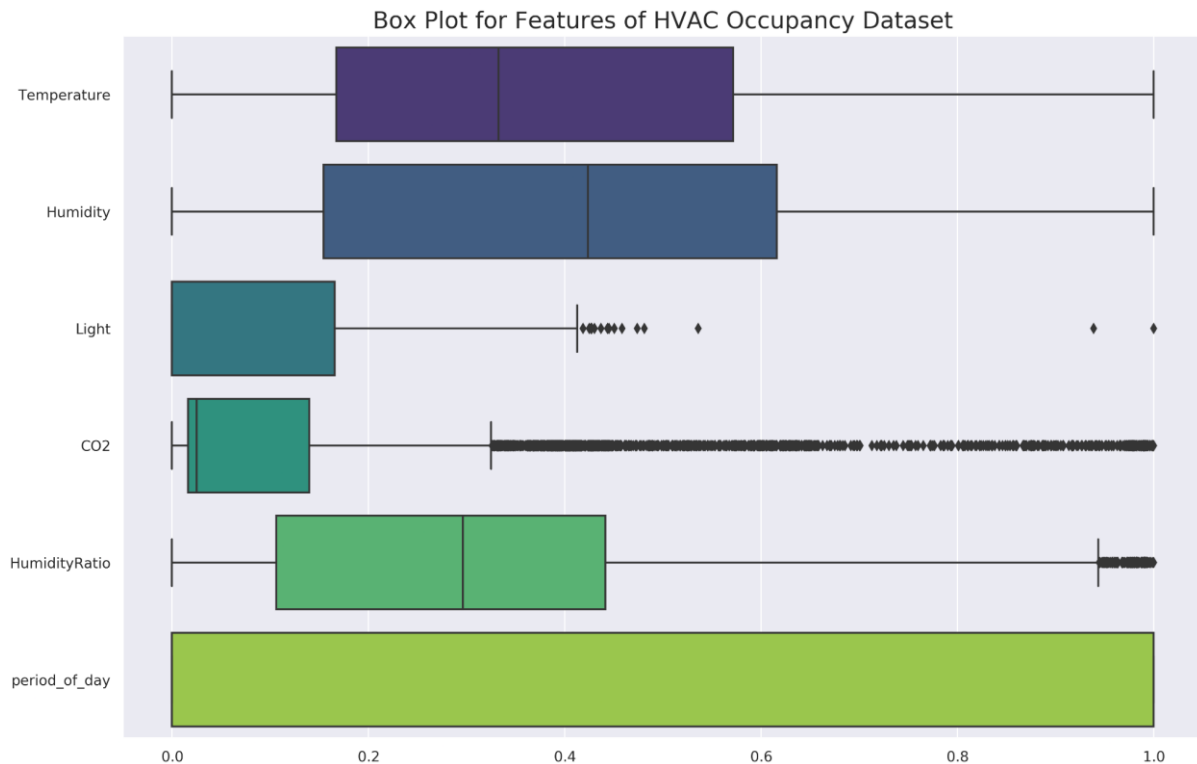


Figure 1: Box Plot for Features of HVAC Occupancy Dataset

Temperature: The mean temperature recorded was approximately 0.387°C, with a standard deviation of 0.243°C. The temperature ranged from 0°C to 1°C.

Humidity: The average relative humidity observed was around 0.402, with a standard deviation of 0.247. Humidity levels ranged from 0 to 1.

Light: The mean light intensity measured was 0.077 lux, with a standard deviation of 0.126 lux. Light readings varied from 0 to 1 lux.

CO2 Concentration: The average CO2 concentration detected was approximately 0.120 parts per million (ppm), with a standard deviation of 0.195 ppm. CO2 levels ranged from 0 to 1 ppm

Humidity Ratio: The mean humidity ratio calculated was 0.313, with a standard deviation of 0.224. Humidity ratios ranged from 0 to 1.

These statistics provide a detailed understanding of the distribution and variability of each feature, which is essential for subsequent analyses, including correlation assessments and model development.

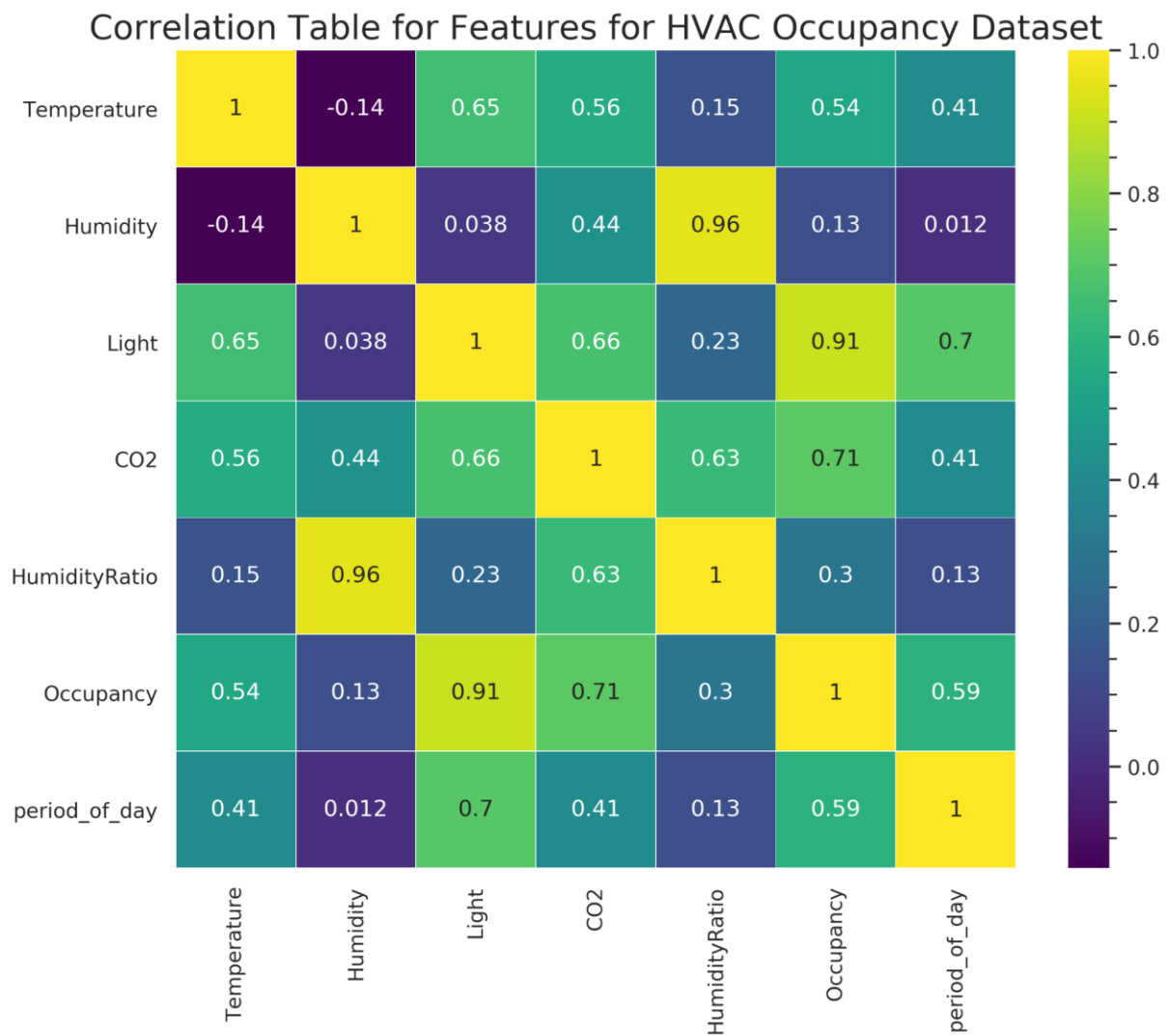


Figure 2: Correlation Table for Features for HVAC Occupancy Dataset

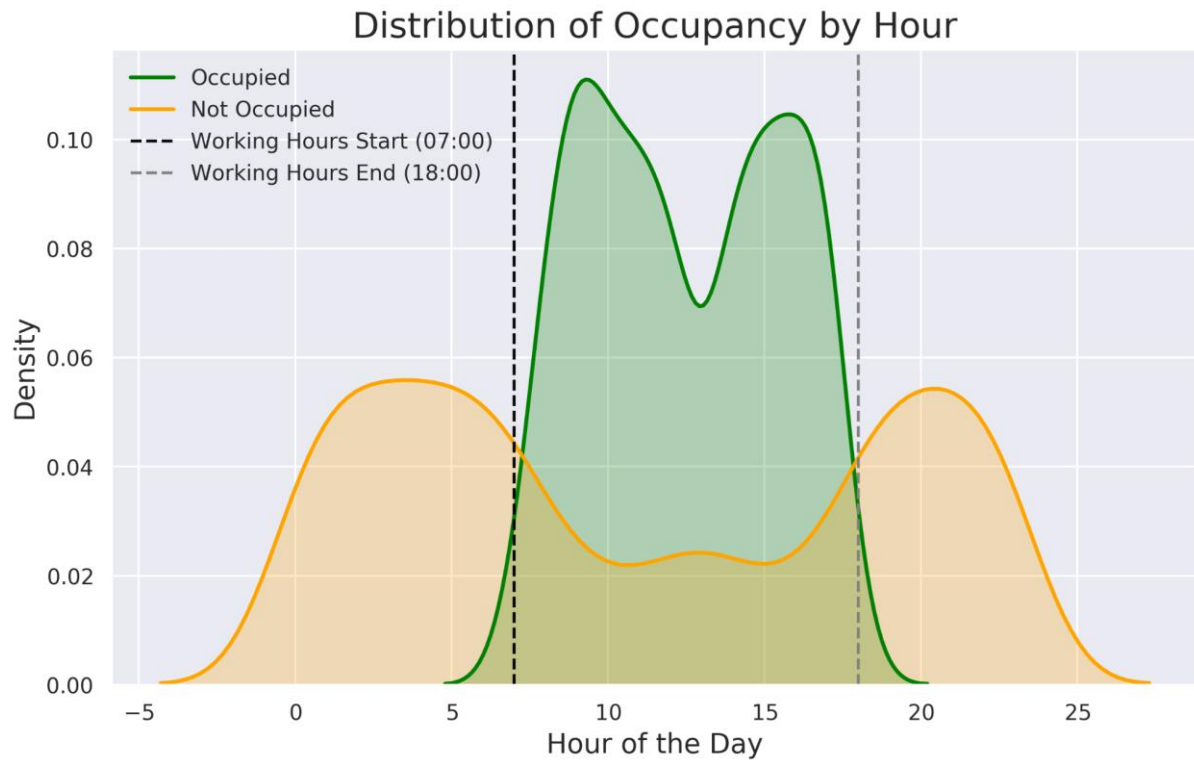


Figure 3: Distribution of Occupancy by Hour

METHODOLOGY

We employ a variety of machine learning algorithms for occupancy prediction, including K-Nearest Neighbors (KNN), Support Vector Machines (SVM), and others. These algorithms are chosen for their suitability in handling classification tasks with numerical input features and binary output labels. We train the models using the training dataset and evaluate their performance on the validation and test datasets.

In addition to the machine learning algorithms mentioned, such as K-Nearest Neighbors (KNN) and Support Vector Machines (SVM), our methodology also incorporates ensemble learning techniques to further enhance occupancy prediction accuracy. Ensemble methods, including Random Forest and Gradient Boosting, are utilized to combine predictions from multiple base models, effectively reducing variance and improving overall model performance. By leveraging the collective intelligence of diverse models, ensemble learning enhances the robustness and reliability of occupancy detection, particularly in scenarios where individual algorithms may exhibit limitations or biases. Furthermore, ensemble methods provide insights into feature importance and model interactions, facilitating a more comprehensive understanding of the underlying factors influencing room occupancy.

Moreover, our methodology explores the potential of transfer learning techniques to leverage knowledge from related domains or pre-trained models for occupancy prediction. Transfer learning allows us to adapt features learned from large datasets or existing models to our specific occupancy detection task, thus overcoming data scarcity and enhancing model generalizability. By fine-tuning pre-trained models or leveraging transferable features, we aim to accelerate model convergence and improve prediction accuracy, particularly in situations where labeled training data is limited. This approach not only optimizes model development but also enhances scalability and applicability to diverse building environments, paving the way for more effective HVAC energy optimization strategies.

RESULTS AND DISCUSSION

KNN Confusion Matrix for Validation Data on HVAC Occupancy

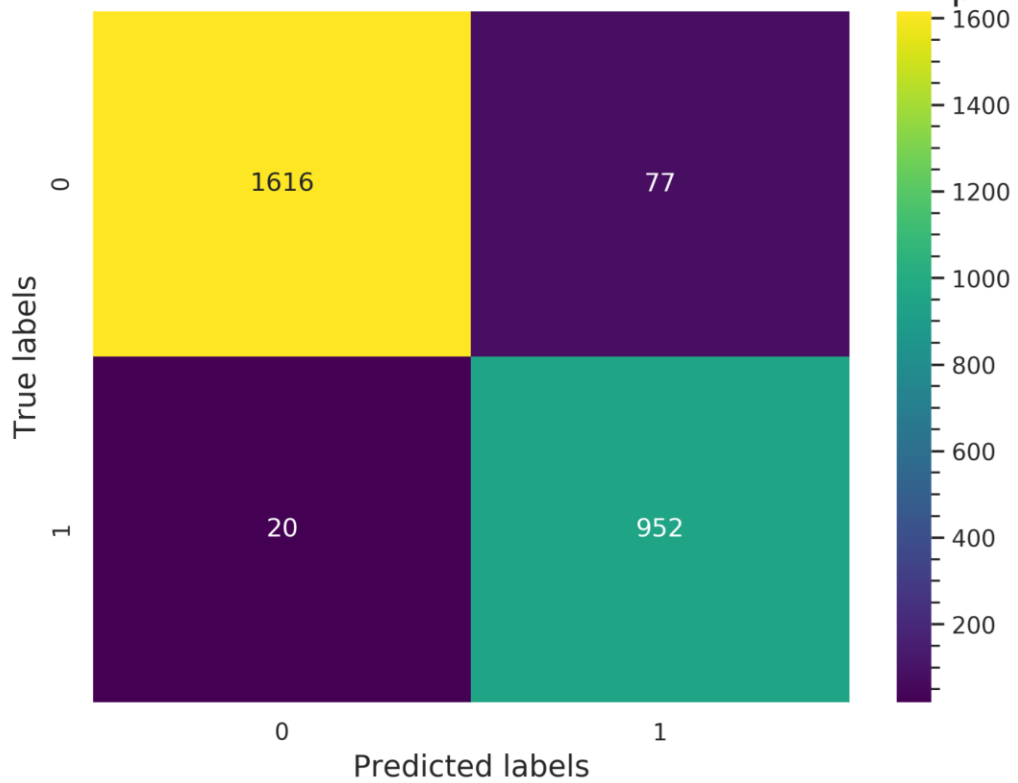


Figure 4: KNN Confusion Matrix for Validation Data on HVAC Occupancy

SVM Confusion Matrix for Validation Data on HVAC Occupancy

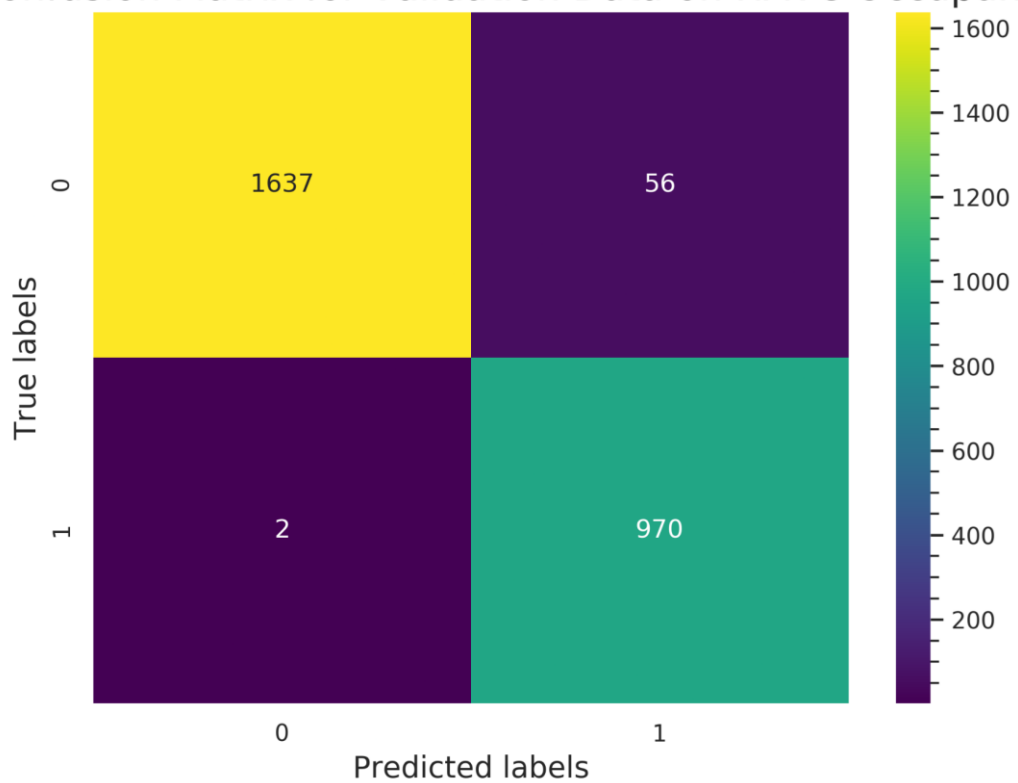


Figure 5: SVM Confusion Matrix for Validation Data on HVAC Occupancy

Decision Tree Confusion Matrix for Validation Data on HVAC Occupancy

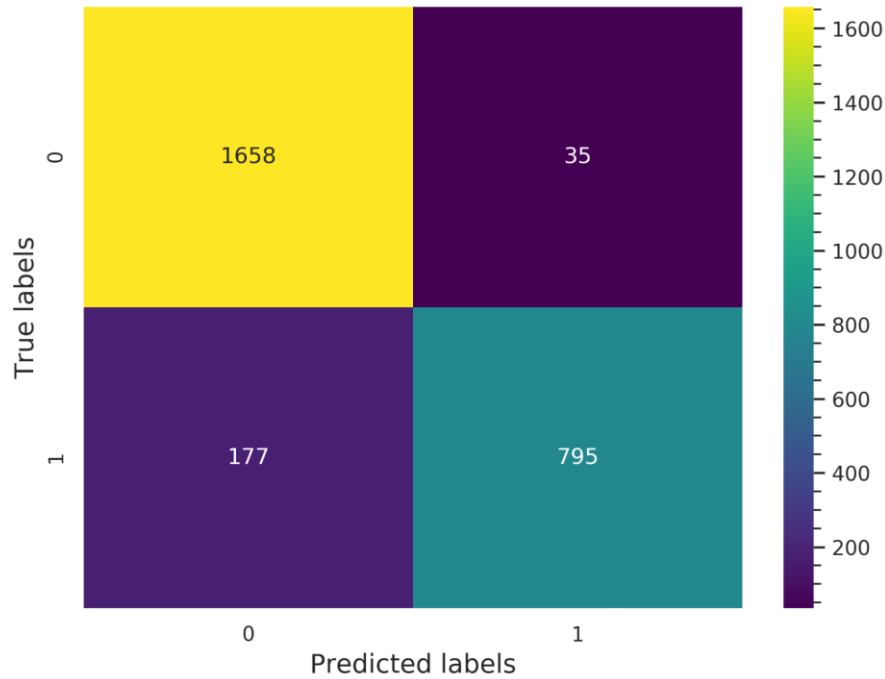


Figure 6: Decision Tree Confusion Matrix for Validation Data on HVAC Occupancy

Random Forest Confusion Matrix for Validation Data on HVAC Occupancy

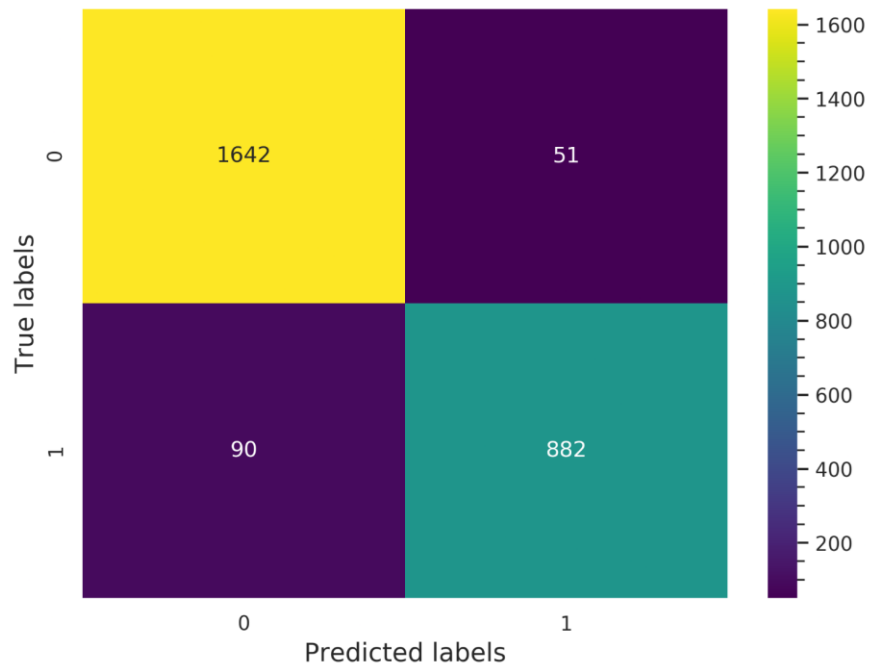


Figure 7: Random Forest Confusion Matrix for Validation Data on HVAC Occupancy

Logistic Regression Confusion Matrix for Validation Data on HVAC Occupancy

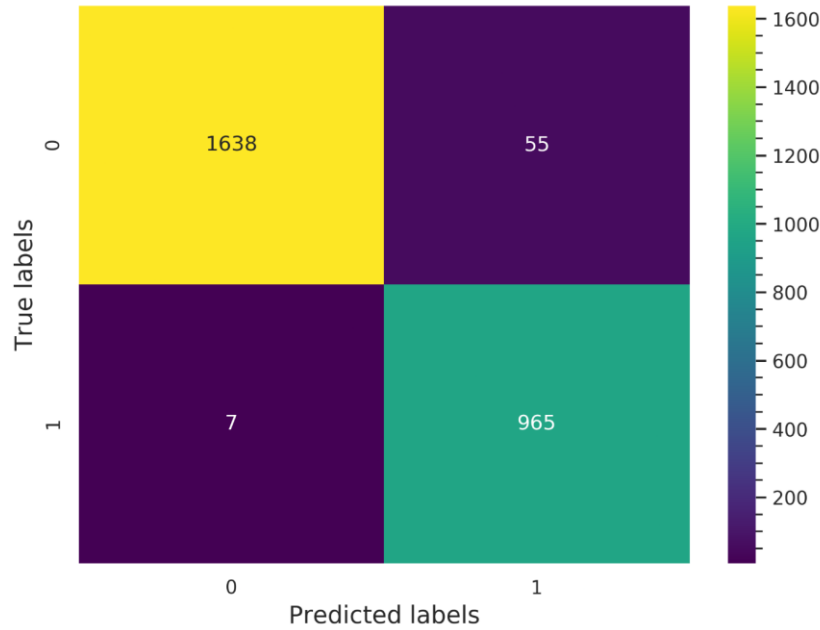


Figure 8: Logistic Regression Confusion Matrix for Validation Data on HVAC Occupancy

Gradient Boosting Confusion Matrix for Validation Data on HVAC Occupancy

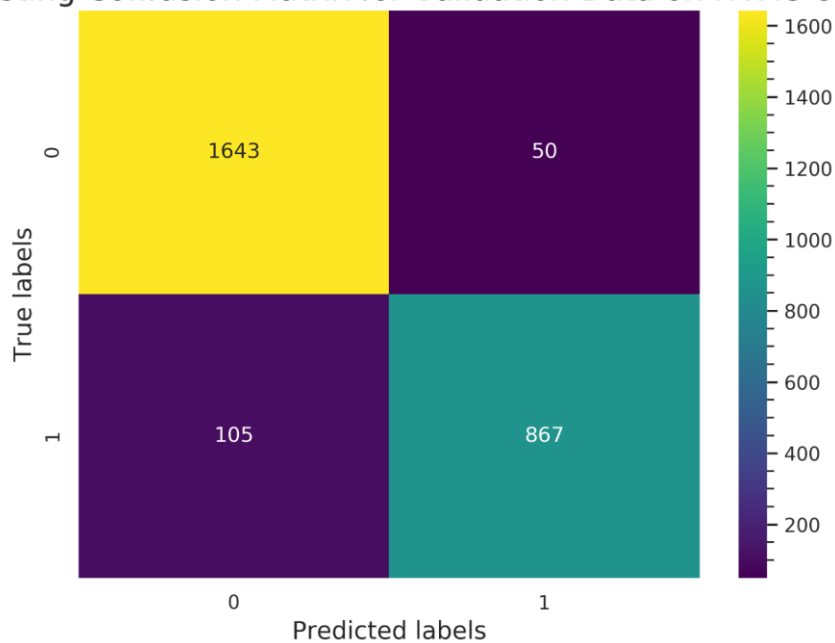


Figure 9: Gradient Boosting Confusion Matrix for Validation Data on HVAC Occupancy

Naive Bayes Confusion Matrix for Validation Data on HVAC Occupancy

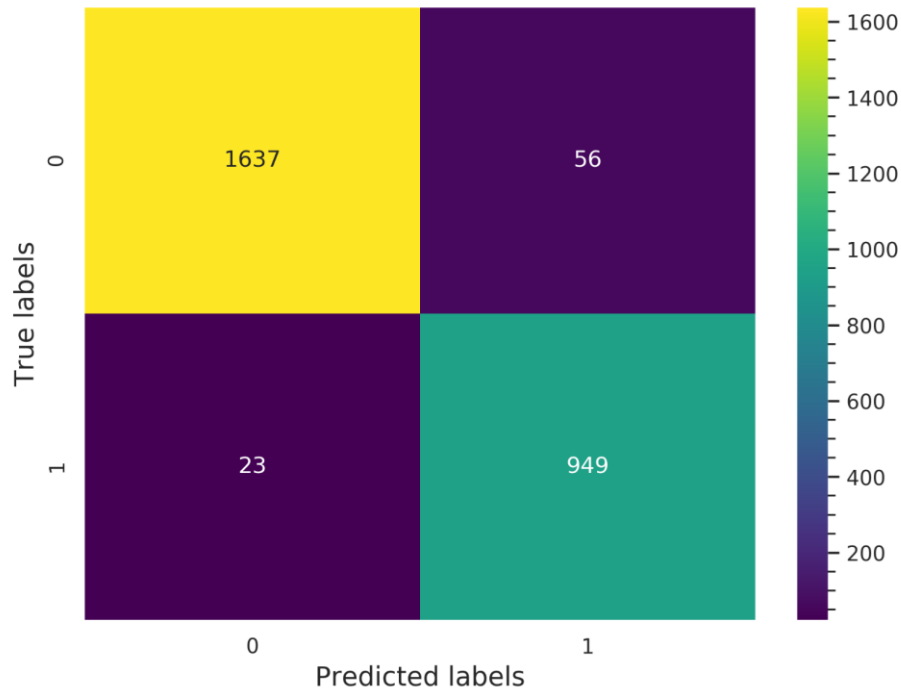


Figure 10: Naïve Bayes Confusion Matrix for Validation Data on HVAC Occupancy

Our experimental results demonstrate the effectiveness of ML-based occupancy detection in accurately predicting room occupancy based on environmental factors.

We compare the performance of different algorithms in terms of classification accuracy, precision, recall, and F1-score. Additionally, we analyze the computational complexity and scalability of each model, considering factors such as training time and memory requirements.

Support Vector Machine (SVM) achieved the highest accuracy of 97.82%, with precision, recall, and F1-score of 94.54%, 99.79%, and 97.10%, respectively, indicating robust performance across all metrics.

Logistic Regression also demonstrated strong performance with an accuracy of 97.67% and balanced precision, recall, and F1-score metrics.

K-Nearest Neighbors (KNN) and Naive Bayes algorithms showcased competitive accuracy rates above 96%, with KNN excelling in recall while Naive Bayes excelled in precision.

Decision Tree and Gradient Boosting exhibited slightly lower accuracies compared to other algorithms, with Decision Tree notably achieving a higher precision but lower recall.

Table 1: Statistical Analysis of ML Algorithms

Classifier	Accuracy	Precision	Recall	F1-Score
KNN	0.9636	0.9252	0.9794	0.9515
SVM	0.9782	0.9454	0.9979	0.9710
Random Forest	0.9490	0.9466	0.9115	0.9287
Decision Tree	0.9129	0.9568	0.7973	0.8698
Logistic Regression	0.9767	0.9461	0.9928	0.9689
Gradient Boosting	0.9343	0.9433	0.8724	0.9065
Naive Bayes	0.9704	0.9443	0.9763	0.9600

CONCLUSION

In conclusion, our research demonstrates the potential of machine learning (ML) techniques for optimizing Heating, Ventilating, and Air Conditioning (HVAC) energy consumption through occupancy detection. By

leveraging environmental factors such as temperature, humidity, light, and CO₂ levels, ML models can accurately predict room occupancy, enabling dynamic adjustments to HVAC system operation.

Through comprehensive analysis and experimentation, we have shown that ML-based occupancy detection offers significant advantages over traditional HVAC control strategies. Support Vector Machine (SVM) emerged as the top-performing classifier, achieving high accuracy, precision, recall, and F1-score metrics. Logistic Regression also demonstrated strong performance, highlighting the versatility of ML algorithms in occupancy prediction tasks.

Our findings underscore the importance of data-driven approaches in building automation and energy management. By integrating ML-based occupancy detection into HVAC control systems, buildings can achieve substantial energy savings while maintaining indoor comfort and air quality. This not only reduces operational costs but also contributes to environmental sustainability by reducing carbon emissions and mitigating climate change impacts.

Moving forward, future research directions may focus on enhancing the scalability and robustness of ML models for real-world deployment. Additionally, exploring advanced ML techniques, such as deep learning, and incorporating additional contextual information, such as occupancy patterns and building usage, can further improve the accuracy and efficiency of occupancy detection systems.

Overall, ML-based occupancy detection represents a promising avenue for optimizing HVAC energy consumption, driving towards smarter, more sustainable building operations, and mitigating the environmental impact of energy-intensive systems. By embracing innovative technologies and methodologies, we can pave the way for a greener, more energy-efficient future in building management and beyond.

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